

## FINANCE CLAIM CERTIFICATION

**To: Next Generation Manufacturing Canada**

**Re: Finance Claim Certification regarding the NGen Project # \_\_\_\_\_ (the "Project")**

I, \_\_\_\_\_, the \_\_\_\_\_ \* of \_\_\_\_\_  
(name) (title) (company)

(the "**Recipient**") certify, solely in my capacity as an Officer of the Recipient and not in any individual capacity, that for this claim:

1. **Ineligible costs** as outlined on the next page have been **excluded** for reimbursement in this claim.
2. The eligible costs in the claim submitted to NGen on the date hereof, by the Recipient regarding the Project have been **incurred and paid** by the Recipient during the claim period.
3. The representations, warranties and covenants contained in the Master Project Agreement with respect to the Project continue to be true as of the date hereof, and the Recipient is not in default of any terms of the Master Project Agreement with respect to the Project.
4. Other government funding (non-NGen) of \$ \_\_\_\_\_ and other government subsidies of \$ \_\_\_\_\_ were **received** during the claim period, which specifically pertains to this Project.
5. All transactions with affiliated (related/associated) companies have been highlighted to NGen and exclude any allocation of profit (i.e. are valued at cost).
6. After having conducted reasonable due diligence, (i) no goods/services provider whose charges are included in these costs, nor any of its officers or directors is a Designated Person; and (ii) that every goods/services provider whose charges are included in these costs conducts its business in compliance with Sanctions. ('Designated Person' means a person that is: (i) designated under the Special Economic Measures Act (Canada); (ii) listed on any other Sanctions-related list maintained by the Government of Canada, according to the most current version published by the Government of Canada via Global Affairs Canada, at its official website or any replacement website or other replacement official publication of such list or lists; or (iii) listed on any other Sanctions-related list or is a 'designated person' under any applicable Canadian law. 'Sanctions' means economic or financial sanctions or trade embargoes imposed, administered or enforced from time to time by the Government of Canada.).
7. Unfunded eligible costs incurred and paid during the reporting period have been included in the claim submitted. Although supporting documentation has not been uploaded for NGen to validate, the Recipient has the appropriate supporting documentation available for review should it be requested.

Signed\*\* .....

Date: \_\_\_\_\_

*\*The title of the individual signing*

*\*\*Must be signed by a CFO or VP Finance, or their delegate*

**Ineligible Costs.** Ineligible costs include:

- (a) retroactive costs (i.e. those costs incurred prior to the Project Start Date as per the Master Project Agreement);
- (b) capital, infrastructure, or equipment costs that are unrelated to the objectives of the initiative;
- (c) expenses relating to the construction, purchase of a building or land, except if the Minister approves such costs as Eligible Industry Match Costs in advance;
- (d) interest charges, late fees, fines, and penalties;
- (e) provisions for contingencies;
- (f) losses on investments, other projects, contracts, bad debts, or expenses for the collection charges;
- (g) federal and provincial income taxes, excess profit taxes or surtaxes and/or special expenses in connection with those taxes;
- (h) expenses and depreciation of excess facilities;
- (i) amortization of unrealized appreciation of assets;
- (j) depreciation of assets paid for by the Minister;
- (k) gifts, donations, entertainment expenses and alcoholic beverages;
- (l) dues and other memberships other than regular trade and professional associations;
- (m) extraordinary or abnormal fees for professional advice unless NGen's approval is obtained prior to incurring the cost;
- (n) discretionary severance and separation packages;
- (o) costs related to the routine administration and operation of recipients, except salary costs related to the Eligible Project, and except other direct or incremental project costs;
- (p) costs for which the Recipient or Ultimate Recipient is eligible for a rebate from federal, provincial, territorial, or municipal government sources;
- (q) salary of the Members of NGen's Board of Directors;
- (r) legal, accounting and consulting fees in connection with litigation or financial reorganization;
- (s) activities where benefits accrue to a single firm or organization;
- (t) lobbyist fees and lobbying costs;
- (u) harmonized sales tax (HST), goods and services taxes (GST), provincial sales taxes (PST/QST), foreign value added taxes (VAT);
- (v) customs and duties;
- (w) premiums for life insurance where proceeds accrue to the recipient;
- (x) grants, scholarships, bursaries, and all other disbursements of funds for which the costs cannot be accounted; or
- (y) Project Costs where, in the opinion of the Minister, there is no buy-in from NGen members and no collaborative aspect.